

PARTNER, FINANCIAL REGULATION AND LITIGATION

Duncan Black

I lead the Financial Regulation team in Fieldfisher's London Office.

DISPUTE RESOLUTION

MONEY LAUNDERING

FINANCIAL SERVICES

REGULATORY INVESTIGATIONS

📍 London, England

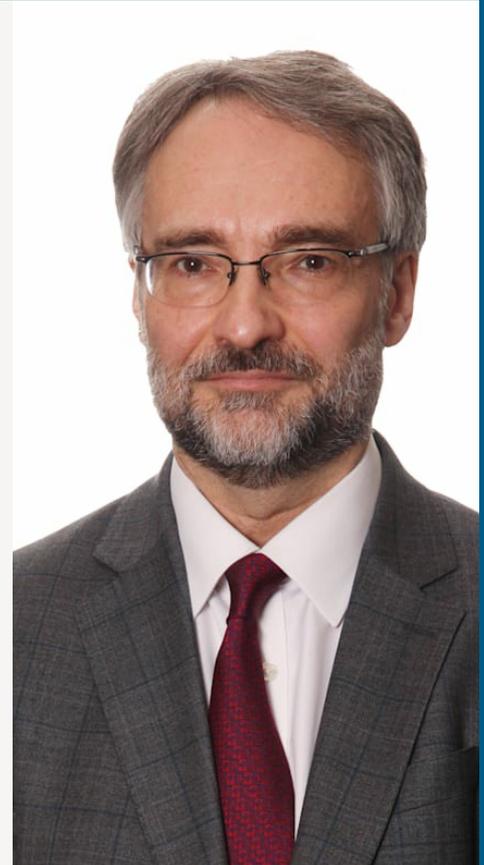
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LOCATIONS

[United Kingdom](#)



My experience is in both contentious and non-contentious financial services work, including disputes, regulatory enforcement, disciplinary action and internal investigations on the contentious side; and on the non-contentious side, perimeter guidance, conduct of business, systems and controls and compliance reviews. I have a particular focus on money laundering and market abuse.

Regulatory work covers clients including broker/dealers, banks, corporate finance providers, financial advisers, individuals and a regulated investment exchange on matters including: Conduct of Business, Market Abuse/disclosure of price sensitive information; systems and controls breaches; judicial review of disciplinary action; personal account dealing and conflicts of interest.

I defend clients under investigation by the FCA, LME and SFO. Coming from a litigation background enables me to consider the wider picture and consequences of any regulatory breach and to deploy evidence gathering skills when investigating regulatory breaches.

Litigation work has included the restructuring of a UAE Sukuk, numerous cases on calculating ISDA early termination amounts, and the leading case on the meaning of the FCA's Client Money rules (which reached the Supreme Court). I frequently act for Trustees in securitisations.

I qualified as a solicitor in 1987.

Chambers and Partners lists me as a Leading Individual in its Guide for Banking Litigation.

What others say...

“ Duncan Black offers his clients advice on a wide range of contentious matters related to banking and finance. He regularly handles claims concerning financial mis-selling, derivatives and shareholder disputes. "A great lawyer with outstanding technical and strategic skills." "Duncan kept a cool head throughout the litigation. He kept the clients informed and considered strategies to manage their concerns at the tactics adopted by the opposition." ”

2021

Chambers & Partners

“ Duncan Black is adept at handling litigation concerning international financial institutions, including entities in Europe, the USA and the Middle East. He also has notable expertise in contentious regulatory matters. He is noted as an "excellent adviser who is very thorough, knowledgeable and with a very practical outlook on potential threats." ”

2020

Chambers & Partners

“ The scope of knowledge and expertise Duncan Black has in his field of practice is exceptional. But it is his ability to calmly and clearly deliver this knowledge to clients in the form of succinct and logically-structured advice that really makes him unique in the market. This ability combined with his pragmatic and down-to-earth approach makes him our first point of call whenever the need arises ”

2021

Legal 500