

DIRECTOR, FINANCIAL SERVICES

Simon Lafferty


I am a financial regulatory lawyer advising a broad range of clients including banks, broker-dealers, asset managers, hedge funds and non-regulated firms on EU and UK financial regulation.

BANKING AND FINANCE


BREXIT


FINANCIAL SERVICES

IBORS

 London, England

+44 (0)330 460 6497

 simon.lafferty@fieldfisher.com

 Connect on LinkedIn

LOCATIONS

[United Kingdom](#)



My work includes advising clients across:

- anti-money laundering
- authorisation and perimeter issues
- BRRD
- CRD IV and CRR;
- EMIR
- MiFID and MiFIR
- MAR
- payment services
- SFTR

I also have experience of advising on various aspects of UK financial architecture including the Bank of England's Sterling Monetary Framework and the Financial Services Compensation Scheme.

Prior to developing a financial regulatory practice, I worked on a range of transactional banking, structured finance and derivatives matters advising on, amongst others, receivables financings, bank and non-bank lending (bilateral and syndicated) and debt capital markets matters.

I continue to work on the advisory, particularly financial regulatory, aspects of structured finance transactions, for example: credit risk mitigation techniques and large exposure rules in respect of a range of financial products; regulatory aspects of securitisations (traditional and synthetic), structured note issuances and treasury arrangements.

I have particular experience of Brexit-related matters having spent time on secondment in the Brexit contingency planning team at a global investment bank and having advised a number of financial institutions (including banks and hedge funds) on the impact of Brexit on their business.